



Attorney's Docket No.: 07977/220002 / US3528/3777  
*Secon* #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ohtani, et al. Art Unit : 2871  
Serial No.: 09/588,996 Examiner : Unknown  
Filed : June 6, 2000  
Title : ACTIVE MATRIX LIQUID CRYSTAL DISPLAY DEVICE

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

In response to the Notice of Omitted Item(s) in a Nonprovisional Application mailed August 22, 2000, Applicants provide the following clarification and respectfully request that the filing date of June 6, 2000, be granted.

The Notice indicates that Figures 11C and 13F were omitted from the application. Applicants respectfully contend that Figures 11C and 13F were filed with the application, but were labeled incorrectly due to a clerical error. Figure 11C appears on Sheet 8 of the drawings submitted with the application but is incorrectly labeled Fig. 11B. Figure 13F appears on Sheet 10 of the drawings submitted with the application but is incorrectly labeled Fig. 12C. A complete set of amended drawings showing

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

*10/19/00*

Date of Deposit

*Nancy Grant*

Signature

*NANCY GRANT*

Typed or Printed Name of Person Signing  
Certificate

the correct figure labels for Figs. 11C and 13F are attached hereto. Applicants respectfully request that the attached corrected drawings be substituted for the drawings which were filed with the application.

Applicant submits that the application was complete as filed and does not believe that any petition fee under 37 CFR §1.17(h) is due. If a petition fee is due, the Commissioner is authorized to charge any necessary fees to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 10-18-00

  
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## FORMALITIES LETTER

  
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/588,996	06/06/2000	Hisashi Ohtani	07977/220002/US3527/3777D

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Date Mailed: 08/22/2000

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 11C, 13F described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) OR (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE